



Instructions for Completing the Applicant Compliance Information Form

Section 22a-6m of the Connecticut General Statutes (CGS) provides for DEP review of an applicant's record of compliance with the environmental laws of Connecticut, any other state, and the federal government. Under the law, DEP may consider the applicant's environmental compliance record, as well as the record of the applicant's principals and any parent companies or subsidiaries, when reviewing a permit application.

A completed Applicant Compliance Information Form (DEP-APP-002) must be submitted with: all applications for permits for activities not previously permitted by DEP; all license transfer registrations; and any other applications and registrations when requested by the commissioner. The form includes a series of questions that the applicant must answer. If you answer yes to any of the questions on this form, you must complete the Table of Enforcement Actions as follows:

1. Type of Enforcement Action: Identify each enforcement action as one of the following:
 - Administrative order (including consent orders)
 - Judgment, order, or decree
 - Criminal conviction
- 2a. Date Commenced: Provide the date each enforcement action listed was issued or commenced.
- 2b. Date Terminated: If the enforcement action listed has terminated, provide the date of termination.
3. Jurisdiction: For each listed enforcement action, indicate whether a state court, a federal court, a state agency or a federal agency was involved. Identify such court or agency.
4. Case/Docket/Order Number: Provide the case, docket or order number of each enforcement action listed.
5. Description of Violation: Provide a brief description of the violation involved in the listed enforcement action and any requirement or penalty imposed as a result of such action.

Note: You do not need to submit copies of any documents associated with any enforcement actions with your application initially, although DEP retains the right to request these documents at a later date.